

## Review Article

# The Genetic-Epigenetic Interplay in Cancer

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**Abstract**

Genetic and epigenetic alterations have important implications in human cancer. Genetic aberrations are associated with wide spread variations in deregulation of cellular functions that lead to cancer. However, there has been clear evidence that epigenetic alterations have profound influence on malignant progression. The genetic and epigenetic alterations have been long considered as two independent mechanisms and the interplay between the two systems in cancer is poorly understood. In this review, we summarize the molecular links between the genetic and epigenetic events that lead to cancer and discuss the Rb-ATM-DNMT1 nexus as a novel pathway linking genetic aberration of two genes commonly inactivated in human cancer into epigenetic events indispensable for tumor evolution.

**Keywords**

- RB
- ATM
- DNA damage response
- DNA methylation
- Histone modifications
- Epigenetic control
- Tumor evolution

**INTRODUCTION****Epigenetics and cellular identity**

The term “epigenetics” was originally introduced by Conrad Waddington to describe heritable changes in the cellular phenotype independent of alterations in the DNA sequence [1]. Epigenetics is also considered as chromatin-based events that affect local transcriptional profile during cellular proliferation or differentiation. These events include modifications of the DNA and the histone proteins in a dynamic and highly regulated manner. The basic unit of the chromatin is the nucleosome, which contains 145–147 base pairs of DNA wrapped around an octamer of the four core histones H2A, H2B, H3 and H4. The nucleosome unit is repeated throughout the genome connected by linker DNA, and the chromatin is further compacted by association with the linker histone H1. This provides proper packaging of the entire genome that contains the heritable material of the eukaryotic cells, and limits DNA accessibility to its binding partner proteins. There are at least four known DNA modifications and 16 different histone modifications [2]. These modifications are the docking sites for specialized proteins known as chromatin readers that specifically recognize and bind to these modifications through specific domains. Then, the chromatin-bound readers recruit additional modifiers and remodeling enzymes to convey information of the chromatin modifications to regulate critical cellular functions such as transcription, DNA replication, DNA repair, cellular identity and phenotype.

**DNA modifications**

Epigenetic modifications of the mammalian DNA at the 5-carbon position of the cytosine residues include methylation (5mC), hydroxyl methylation (5hmC), formylation (5fC) and carboxylation (5caC). The 5mC is the most extensively studied

DNA modification, it constitutes ~1% of all DNA bases and is usually located in the promoter regions known as CpG islands that exist in ~70% of mammalian promoters. The 5hmC is a further modification of the 5mC by enzymatic oxidation, catalyzed by Ten-11 translocation (Tet) proteins [3]. However, recent genome-wide studies in mouse and human embryonic stem cells (ESCs) identified the 5hmC modifications mainly localized in the intragenic regions of active genes as well as in the binding sites of the pluripotency regulators [4,5]. In addition, it has been reported that the methyl CpG binding protein 2 (MeCP2) and DNA methyltransferase-1 (DNMT1) have a poor binding affinity to the 5hmC, which leads to passive DNA demethylation due to improper maintenance of the DNA methylation code during DNA replication [6,7].

**DNA methyltransferases and DNA methylation**

Methylation of the mammalian DNA is initiated and maintained by the DNA methyltransferases (DNMTs) that are responsible for transfer of methyl group from the universal methyl donor, S-adenosyl-L-methionine (SAM), to the 5-carbon position of cytosine residues. Previous reports demonstrated that DNA methylation is essential for the mammalian development [8,9]. There are at least 5 known mammalian DNMTs including DNMT1, DNMT2, DNMT3A, DNMT3B, and DNMT3L. DNMT3A and DNMT3B encode the de novo DNMTs that establish the DNA methylation code shortly after implantation of the fertilized egg [9]. DNMT1 encodes the maintenance methyltransferases responsible for copying the DNA methylation code on the parental strand to the daughter strand during DNA replication owing to its high affinity and specificity to the hemi-methylated cytosines [10]. DNMT2 is a highly conserved human tRNA methyltransferases [11]. DNMT3L does not possess an inherent enzymatic activity but it induces de novo DNA methylation

by docking DNMT3A to the nucleosome [12]. The N-terminal cysteine-rich domain of DNMT3L specifically interacts with the amino terminus of histone H3 only when H3K4 is not modified suggesting that DNMT3L acts as a sensor for H3K4 methylation [13].

### DNA methyl lysine readers

The methyl-CpG binding domain proteins MBD1, MBD2, MBD3, MBD4, MeCP2, and Kaiso are responsible for recognition and interpretation of the DNA methylation mark in mammals. All MBDs except MBD3 have high binding affinity to methylated cytosine [14]. MBD2 exist in the MeCP1 complex together with the chromatin remodeling protein NuRD/Mi2, HDAC1/2 and RbAp46/48 where the MeCP1 complex is preferentially targeted to methylated DNA by MBD2 [15]. MBD4 has endonuclease activity and is involved in repair of methylated DNA damage, and its deficiency is associated with accumulation of mCpG sites mutations that lead to tumorigenesis [16]. MeCP2 can be targeted only to mCpG flanked by A/T bases and it is a target molecule for the DNA methylation maintenance DNMT1 [17,18]. Kaiso binds to mCpG preferably within the sequence 5'-CGCG-3' and has an essential role as a global repressor during early development [19,20].

### DNA demethylases

DNA methylation is regulated by coordination of the DNMTs and DNA demethylases (DDMs). Passive DNA demethylation can result from improper maintenance of the DNA methylation due to low DNMT1 specificity to 5hmC or DNMT1 protein destabilization. The 5mC marks are also subjected to active demethylation mediated by the thymine DNA glycosylase (TDG) and the TET family proteins (Figure 2) [3,4]. Previous studies indicated that the lysine demethylases (KDMs) KDM1A and KDM1B are essential for the maintenance of global DNA methylation and genomic imprinting as well as coordination of histone methylation [21,22]. Furthermore, loss of KDM1A induces early embryonic lethality in mice due to DNMT1 protein destabilization and consequent global DNA hypomethylation [21].

### Posttranslational modifications of the histone

The four core histones share similar structure with globular hydrophobic core regions and flexible N-terminal regions that protrudes from the nucleosome and known as histone tails. Posttranslational modifications (PTMs) of these N-terminal tails are major epigenetic events. PTMs of the histones influence chromatin modifications depending on the site, the degree, and the type of modification with high diversity and complexity in their functional outcomes [23]. The most extensively studied PTMs of the histone are acetylation, methylation, phosphorylation, ubiquitination and sumoylation.

### Histone acetylation-deacetylation dynamics

Acetylation of lysine residues on the histone tail is a dynamic process under the control of lysine acetyl transferases (KATs) and histone deacetylases (HDACs) [24]. It is widely known that acetylation on lysine residues results in reduction of the positive charge of the histones, and this weakens their interaction with the negatively charged DNA leading to chromatin relaxation. The

HDACs family of enzymes includes at least 18 members (HDAC1-18) that reverse lysine acetylation restoring the positive charge of the histones and strengthen their interaction with the DNA resulting in DNA compaction with less accessibility by the DNA interacting proteins. It was shown that KATs and HDACs could also target non-histone proteins such as c-MYC, p53, STAT3, HIF1 $\alpha$ , Smad7, NF-KB, E2F1-3 and pRB [25].

### Histone methylation diversity

Histones are methylated on the side chains of the basic residues lysine (K), arginine (R) and histidine (His) with variable degree of methylation such as mono-methylation (Kme1, Rme1 and Hisme1), di-methylation (Kme2, Rme2), tri-methylation (Kme3). The most extensively studied histone methylation includes methylation of histone H3 at lysine 4 (K4), lysine 9 (K9), lysine 27 (K27), lysine 36 (K36) and lysine 79 (K79) and histone H4 at lysine 20 (K20). Histone arginine residues can be also di-methylated asymmetrically (H3R2me2a, H3R17me2a, H3R26me2a and H3R42me2a), a modification that was found to be associated with transcriptionally active regions or symmetrically (H3R8me2s and H4R3me2s), a modification that was found to be associated with heterochromatin formation and gene repression [26]. However, deregulation of the arginine residues was reported to be rare and their functions are complex [27]. Histone methylation marks were originally believed to be irreversible, however as mentioned earlier, discovery of the lysine methyltransferases (KMTs) and recently the KDMs suggested that histone methylation is a reversible and dynamic process [28]. In contrast to KATs, KMTs are highly specific in targeting certain lysine residues and they contain a conserved SET domain with methyl transferase activity, and they are divided into 9 groups (KDM1-8 and PADI). List of histone modifiers are listed in Table 1. The functional outcome of the histone tail methylation is context-dependent being influenced by location of the methylated lysine residue, degree of methylation either mono-methylated (me1) or di-methylated (me2) or tri-methylated (me3), combinations of methylated lysine residues at different locations, type of the reader proteins, and the functional coordination between KMTs and KDMs. H3K4me3 is generally considered as an active mark, and frequently associated with genes poised for transcriptional activation whereas H3K27me3 is widely known as a repressive mark. H3K4me1 is associated with gene enhancers whereas H3K4me3 is linked to promoter activation [29]. H3K79me2 is important for cell cycle regulation, whereas H3K79me3 is linked to the wnt signaling pathway [30,31]. The H3K4me2 and H3K4me3 are generally associated with transcriptional activation, but in some instances they can be interpreted as repressive marks probably due to interpretation by different reader proteins such as when bound to the PHD domain containing co-repressor protein inhibitor of growth family member 2 (ING2) [32]. Although H3K4me3 and H3K27me3 are marks associated with active and repressive transcription, respectively, when they are present together, they appear to have a role in poising the gene transcriptional activity [33], probably due to interpretation by methyl lysine readers (MLRs) containing multiple domains that are capable of recognizing different histone marks at the same time for initiating specific transcriptional outcome. In other situation, maximum transcriptional activation requires addition of an active mark and removal of repression mark. For example,

**Table 1:** Epigenetic regulators in cancer.

Modifiers	Substrate specificity	Effect	Mutation in cancer	References
<b>DNA methyltransferases</b>				
DNMT1	Hemimethylated cytosine (5-C) → 5mC	Repression	Colorectal, Non-small cell lung, pancreatic, gastric, breast cancer	Ref. 75
DNMT3A	Cytosine ( 5-C → 5mC)	Repression	Acute myloid leukemia (AML), myelodysplastic syndrome/ myeloproliferative disease (MDS/MPD)	Ref. 70-73
DNMT3B	Cytosine (5-C → 5mC)	Repression	ICF syndrome, breast, lung tumors	Ref. 75
<b>DNA hydroxymethylases</b>				
TET1	Methylated cytosine (5mC → 5hmC → 5fC → 5caC)	Activation	AML	Ref. 66
TET2	Methylated cytosine (5mC → 5hmC → 5fC → 5caC)	Activation	AML,MDS/MPD, chronic myelomonocytic leukemia (CMML)	Ref. 67-69
TET3	Methylated cytosine (5mC → 5hmC → 5fC → 5caC)	Activation	T-cell lymphoma (TCL)	Ref. 77
<b>Lysine acetyltransferases</b>				
KAT1/HAT1	Soluble histone H4 (K5, K12)	Activation	B-cell lymphoma	Ref. 74
KAT2A/hGCN5	H3 (K9, K14, K18), H2B	Activation	Colorectal, uterine, lung cancers, leukemia	Ref. 75
KAT2B/PCAF	H3 (K9, K14, K18), H2B	Activation	Colorectal, uterine, lung cancers, leukemia	Ref. 75
KAT3A/CBP	H3 (K14, K18 ), H4 (K5, K8), H2A (K5), H2B (K12, K15 )	Activation	AML, acute lymphocytic leukemia (ALL), diffuse large B-cell lymphoma (DLBCL), transitional cell carcinoma (TCC), B-cell non-Hodgkin lymphomas (B-NHL)	Ref. 2, 74-76
KAT3B/p300	H3 (K14, K18 ), H4 (K5, K8), H2A (K5), H2B (K12, K15 )	Activation	AML, ALL, DLBCL, TCC, colorectal, gastric, breast, pancreatic cancers	Ref. 2, 74-76
KAT5/TIP60	H3 (K14), H4 (K5, K8, K12, K16)	Activation	Colorectal, head-and-neck, breast cancers, lymphomas	Ref. 75
KAT6A/MOZ	H3 (K14, K23)	Activation	AML, MDS	Ref. 2, 75
KAT6B/MORF	H3 (K14)	Activation	AML, uterine leiomyoma	Ref. 2
KAT7/HB01	H4 (K5, K8, K12)	Activation		
KAT8/HMOF	H4 (K16)			
KAT9/ELP3	H3			
KAT12/TFIIIC90	H3 (K9, K14, K18)	Activation		
KAT13A/SRC1	H3, H4	Activation		
KAT13B/ACTR	H3, H4	Activation		
KAT13C/p160	H3, H4	Activation		
KAT13D/CLOCK	H3, H4	Activation		
<b>Lysine deacetylases</b>				
Sirt2/ScSir2	Lysines on histone and non-histone proteins	Repression	Breast, colorectal, prostate cancers	Ref. 75
HDAC 1-18	Lysines on histone and non-histone proteins	Repression	Colon, gastric, endometrial cancers	Ref. 75
<b>Histone methyltransferases</b>				
KMT1A/SUV39H1	H3 (K9me1, K9me2 → H3K9me3)	Repression		
KMT1B/SUV39H2	H3 (K9me1, K9me2 → H3K9me3)	Repression		
KMT1C/G9A	H3 (K9 → K9me1, K9me2), (K56 → K56me1), (K27 → K56me)	Repression		
KMT1D/GLP	H3 (K9 → K9me1, K9me2), (K27 → K27me)	Repression		
KMT1E/SETDB1	H3 (K9 → K9me)	Repression		

KMT2A/MLL1	H3 (K4 → K4me)	Activation	AML, acute lymphatic leukemia (ALL), bladder TCC, NHL, prostate cancer	Ref. 2, 75
KMT2B/MLL2	H3 (K4 → K4me)	Activation	Medulloblastoma, DLBCL, ALL, bladder TCC, NHL, prostate, renal carcinoma	Ref. 2, 75
KMT2C/MLL3	H3 (K4 → K4me)	Activation	Medulloblastoma, ALL, TCC, NHL, prostate, renal, breast cancer	Ref. 2, 75
KMT2E/MLL5	H3 (K4 → K4me1, K4me2)	Activation		
KMT2F/SETD1A	H3 (K4 → K4me)	Activation		
KMT2G/SETD1B	H3 (K4 → K4me)	Activation		
KMT3A/SETD2	H3 (K36me2 → K36me3)	Activation	Renal, breast cancer	Ref. 2
KMT3B/NSD1	H3 (K36 → K36me)	Activation/ Repression	AML	Ref. 2
NSD2	H3 (H3K27me)	Repression	Multiple myeloma	Ref. 2
NSD3	H3 (K4me, K27me)	Activation / Repression	AML	Ref. 2
KMT4/DOT1L	H3K79	Activation		
KMT5A/Pr-SET7/8	H4 (K20me1)	Repression		
KMT5B/SUV4-20H1	H4 (K20me3)	Repression		
KMT5C/SUV4-20H2	H4 (K20me3)	Repression		
KMT6/EZH2	H3 (K27 → K27me1, K27me2, K27me3)	Repression	DLBCL, MPD/MDS	Ref. 2
KMT7/SET7/9	H3 (K4me)	Activation		
KMT8/RIZ1	H3 (K9me)	Repression		
<b>Lysine demethylases</b>				
KDM1A/LSD1	H3 (K4me1, K4me2), (K9me)	Repression/Activation	Prostate cancer	Ref. 75
KDM1B/LSD2	H3 (K4me1, K4me2)	Repression		
KDM2A/JHDM1A	H3 (K56me2)	Repression		
KDM2B/JHDM1B	H3 (K4me3), K36me2)	Repression		
KDM3A/JHDM2A	H3 (K9me2, K9me1)	Activation		
KDM3B/JHDM2B	H3K9	Activation		
KDM4A/JHDM3A	H3 (K9me3), K36me3)	Activation		
KDM4B/JHDM3B	H3 (K9me3)	Activation		
KDM4C/JHDM3C	H3 (K9me3, K36me3)	Activation		
KDM4D/JHDM3D	H3 (K9me2, K9me3)	Activation		
KDM5A/JARID1A	H3 (K4me2, K4me3)	Repression	AML	Ref. 2
KDM5B/JARID1B	H3 (K4me1, K4me2, K4me3)	Repression	Renal carcinoma	Ref. 2
KDM5C/JARID1C	H3 (K4me2, K4me3)	Repression		
KDM5D/JARID1D	H3 (K4me2, K4me3)	Repression		
KDM6A/UTX	H3 (K27me2, K27me3)	Activation	AML, TCC, multiple myeloma, bladder, breast, kidney, lung, pancreatic, esophageal, colon, uterine, brain cancers	Ref. 2, 75
KDM6B/JMJ3	H3 (K27me2, K27me3)	Activation		
<b>Arginine methyltransferases</b>				
CARM1/PRMT4	H3 (R2 → R2me1, R17 → R17me2a, R26 → R26me1)	Activation		
PRMT5	H3 (R8 → R8me1), H4 (R3 → R3me1)	Repression		
<b>Serine/Threonine Kinases</b>				
ATM	H2A (S139p; gH2AX)	Repression	T-cell prolymphocytic leukemia (T-PLL), AML, ALL, medulloblastoma, glioma	Ref. 2
JAK2	H3 (Y41 → Y41p)	Activation	AML, ALL, MPD, chronic myeloid leukemia (CML)	Ref. 2
PIM1	H3 (S10 → S10p)	Activation	Non-Hodgkin lymphomas (NHL)	Ref. 2
Haspin	H3 (T3 → T3p)			
MSK1	H3 (S10 → S10p, S28 → S28p)	Activation		
MSK2	H3 (S10 → S10p, S28 → S28p)	Activation		
CKII	H4 (S1 → S1p)			

STK4/MST1	H2B (S14 → S14p)			
<b>Ubiquitilases</b>				
Bmi/Ring1A	H2A (K119 → K119ub1)			
RNF20/RNF40	H2B (K120 → K120ub1)	Activation		

**Table 2:** Chromatin modification marks and their biological relevance.

Modifications	Inducer	Reader	Biological function
<b>DNA marks</b>			
5-methylcytosine (5mC)	DNMT3A, DNMT3B, DNMT1	MBD domain	Transcriptional repression
5-hydroxymethylcytosine (5hmC)	TET	Unknown	Transcription activation
5-carboxylcytosine (5caC)	TET	Unknown	Unknown
5-formylcytosine (5fC)	TET	Unknown	Unknown
5-hydroxymethyluracil (5hmU)	Cytosine deaminase, AID	DDR	BER
<b>Histone marks</b>			
Acetylation (K-ac)	HATs	BromodomainTandem, PHD fingers	Transcriptional activation, DNA replication, repair and condensation
Methylation (Kme1, Kme2, Kme3)	KMTs	Chromodomain, Tudor domain, MBT domain,	Transcription: H3K4 (activation), H3K9 (repression), H3K27 (repression), H3K36 (activation),
		PHD fingers, PWWP domain	H3K79 (activation), H4K20 (repression)
Methylation (Rme1, Rme2s, Kme3a)	KMTs	Tudor domain	Transcription
Phosphorylation (Sp)	Histone kinases, protein kinases	14-3-3, BRCT	H3S10p (Msk1, Msk2, Rsk2, IKKa, Pim1; Transcription), H3S10p, H3S28p (AuroraB/mitosis), H2AX139p (ATM, ATR, DNA-PK; DNA damage repair), H2BS14p (Mst1; Apoptosis)
			H3T6p (PKCb1) H3T11p (PRK1); Transcription, H3T45p (PKCd); Apoptosis
Phosphorylation (Tp)	Histone kinases, protein kinases	14-3-3, BRCT	H3Y41p (JAK2; Transcription), H2AXY142p (WSTF; Switch between DNA repair and apoptosis)
Phosphorylation (Yp)	William syndrome transcription factor (WSTF)	SH3	H2AK119-ub (BRCA1); maintains heterochromatin at satellite DNA and transcriptional repression
Ubiquitylation (K-ub)	RING1A/RING1B/BMI1, BRCA1/BARD1	UIM, IUM	H2BK120-ub (RNF20/RNF40); transcriptional activation
	RNF20/40	UIM, IUM	H2AK63ub, H2AXK63ub (RING1A/RING1B/BMI1, RNF8, RNF168) DDR and repair
	RING1A/RING1B/BMI1, RNF8, RNF168	RAP80	H2Asu and H4su; transcription repression, H2A.Zsu; DSBs repair
Sumoylation (K-su)	ubc9, siz1,siz2	SIM	Transcription and DNA repair
ADP ribosylation (E-ar)	MARTs and PARPs	Macro domain, PBZ domain	Transcription
Deimination (R→cit)	PAD1-4	Unknown	Transcription
Proline isomerisation (P-cis ↔ P-trans)	Peptidylprolyl isomerase (PPIase)	Unknown	Transcription
Crotonylation (K-cr)	Unknown	Unknown	Transcription
Propionylation (K-pr)	p300 and CBP	Unknown	Unknown
Butyrylation K-bu)	p300 and CBP	Unknown	Unknown
Formylation (K-fo)	Formylphosphate	Unknown	Unknown
Hydroxylation (Y-oh)	Tyrosine Hydroxylase	Unknown	Unknown
O-GlcNAcylation (S-GlcNac, -GlcNac)	TET2, TET3 → OGT	Unknown	Transcription

the mammalian H3K27 demethylase KDM6A (UTX) has been found to be associated with the H3K4 methyltransferase complex KMT2B-KMT2C [34]. Similar association between the H3K4 methyltransferase complex subunit retinoblastoma-binding protein 5 (RBBP5) and the H3K27 demethylase KDM6B has been reported [35]. On the other hand, when maximum repression of gene activity is necessary, the H3K4me3 demethylases

physically associates with the repressive polycomb group (PcG) proteins that establish H3K27me3 together with the H3K9 methyltransferases and the histone deacetylases [36, 37]. These diverse histone modifications suggest that the coordinated reciprocal histone modifications function to establish, maintain or erase specific histone codes when and where it is appropriate to execute particular cellular function. The diverse state of lysine

modifications are read and interpreted by the MLRs containing specialized recognition domains. The MLRs include the Royal family of Tudor domains, malignant brain tumor domains (MBT) and chromo domains and the plant home domain (PHD) family members. The MLRs recruit various proteins necessary for the execution of the PTMs and communications with other cellular systems.

### Histone phosphorylation

Histone phosphorylation is a dynamic process, in which phosphate group is added to specific serine, thymine or tyrosine residues. Histone H1, H2A, H2B, H3, and H4 can be phosphorylated at multiple phosphorylation sites. Histone phosphorylation is catalyzed by specific kinases and it has been linked to many cellular functions including transcriptional activation, mitosis, DNA damage repair, and apoptosis (Table 2). Histone H3 phosphorylation at serine 10 (H3S10p) is the most extensively investigated histone phosphorylation mark. H3S10p is considered as hallmark of mitosis and has been directly linked to chromatin condensation, faithful chromosomal segregation and post-mitotic dissociation of the HP1 protein [38,39]. Phosphorylation of histone H3 on threonine 6 (H3T6p) prevents demethylation of H3K4 by KDM1A and KDM5B, which contributes to transcriptional activation [40]. Phosphorylation of H2AX on serine 139 (H2AX) is key component of DNA damage response (DDR). This modification is rapid and spread over megabases from the break site and mediated by the phosphatidylinositol-3 kinase-like kinases (PIKK) including ATM, which is a central molecule in the DDR pathway. The recently identified constitutive phosphorylation of histone H2A at tyrosine 142 (H2A<sup>pY142</sup>) is catalyzed by william syndrome transcription factor (WSTF) and dephosphorylated by eyes absent homolog (EYA) phosphatases following DNA damage [41]. The reciprocal correlation between H2A<sup>pY142</sup> and  $\gamma$ H2AX has been suggested as switch mechanism between DNA damage repair and apoptosis following DNA damage [42]. Future elucidation of the regulatory mechanisms of the H2A<sup>pY142</sup> in determining cell fate after DNA damage would be of great interest. Chromatin modification marks and their biological interpretation are listed in Table 2.

### DNA methyltransferases deregulation in cancer

The mammalian DNA is subjected to wave of demethylation to erase the whole genome DNA methylation prior to implantation of the fertilized egg, followed by de novo DNA methylation organized by DNMT3A and DNMT3B. The DNA methylation code is established in coordination with the histone code to determine specific transcriptional output essential for the development and embryogenesis. DNMT3A and DNMT3B are highly expressed in embryonic tissues and ESCs but expressed at low level in differentiated cells. On the other hand, DNMT1 is highly expressed in proliferating cells but down regulated in non-proliferating cells [43]. DNMT1 is mainly regulated by posttranslational modification mechanisms including acetylation, methylation, phosphorylation and ubiquitination [44]. The histone H3K4-specific KMT7 (SET7) directly methylates DNMT1 at K142 during S and G2 phases of the cell cycle and promotes DNMT1 degradation [45]. In addition, the interplay between DNMT1 methylation at lysine 142 and DNMT1 phosphorylation at serine

143 coordinates DNMT1 protein stability [46]. Furthermore, the lysine demethylase LSD1 (KDM1A) demethylates and stabilizes DNMT1 in vivo [21]. On the other hand, the acetyltransferase Tip60 triggers DNMT1 acetylation and subsequent ubiquitination by the PHD and ring finger domains 1 (UHRF1) resulting in DNMT1 destabilization [47]. Consistently, we recently demonstrated that the genetic interaction of the retinoblastoma (Rb) and the ataxia telangiectasia mutated (ATM) coordinates the Tip60 and UHRF1 functions in DNMT1 destabilization that result in DNA hypomethylation-induced cellular senescence that serve to restrict malignant transformation [48]. Furthermore, recent data showed that Tip60 couples ATM signaling to chromatin modifications [49]. Taken together, these data revealed previously unknown molecular network coordinating protein posttranslational modifications, epigenetic alterations, genomic instability and malignant transformation. DNMT1 knockout mice have extensive genome-wide hypomethylation and die shortly after gastrulation [50]. In addition, DNMT1 inactivating mutations lead to loss of imprinting and defective X chromosome inactivation [51,52]. DNMT1-deficient ESCs are viable but they show genome-wide DNA hypomethylation, genomic instability and they die when induced to differentiate [50,53]. Furthermore, DNMT1 knockout MEFs are not viable due to immediate apoptosis but DNMT1 conditional knockout in MEFs induces apoptosis within few passages [54,55]. We also reported a critical role of DNMT1 in malignant transformation of the thyroid C cells in mice model as well as in MEFs immortalization [48]. We discovered that inactivation of Rb and ATM induces DNMT1 protein stabilization that result in aberrant DNA hypermethylation patterns localized to cancer related gene promoters. Importantly, DNMT1 depletion by specific shRNA or by DNA methyl transferase inhibitors 5-Aza-C or Trichostatin A in these cells reversed the aberrant DNA methylation patterns and signs of cellular transformation. These numerous genetic studies indicated that DNMT1 is indispensable for embryonic development, cell proliferation and tumorigenesis. DNMT3B knockout mice die at embryonic day E9.5 and show multiple developmental defects, whereas the DNMT3A knockout mice die shortly after birth [9]. Therefore, disruption of any of the catalytically active DMTs is lethal in mammalian development. DNMT3B but not DNMT3A knockout embryos and ESCs showed significant hypomethylation of the pericentromeric satellite repeats implicating that these genomic regions are specific targets of DNMT3B for methylation maintenance in vivo [9]. Human DNMT3B gene mutations cause a rare autosomal syndrome characterized by immunodeficiency, centromere instability and facial abnormalities (ICF) [56]. ICF patients have centromeric instability of chromosomes 1, 9, and 16 associated with abnormal hypomethylation at their pericentromeric satellite regions. Indeed, many human tumors have similar losses of DNA methylation and chromosomal structural changes in these regions [57]. DNMT3L knockout male mice are viable but sterile due to defective meiosis and germ cells loss but pups from DNMT3L knockout female mice are not viable due to neural tubes defects due to hypomethylation of the maternally imprinted genes [58].

### Aberration of the DNA methylation in cancer

Deregulation of the cellular mechanisms that maintain DNA

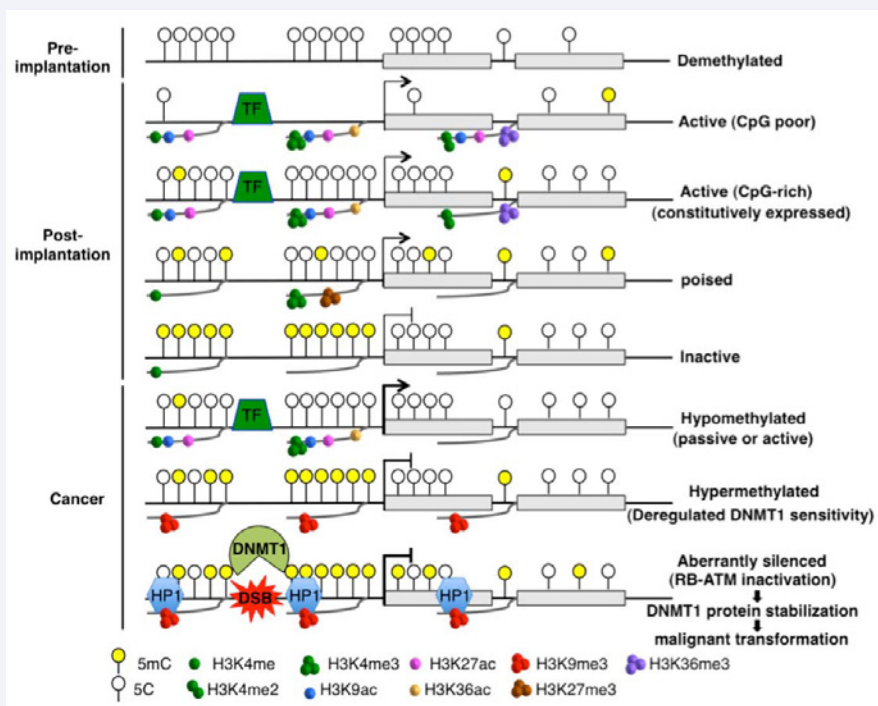
methylation results in aberrant DNA methylation patterns frequently detected in cancer [59], (Figure 1 and Figure 2). In general, promoter methylation of tumor suppressor genes is a common cause of cancer and predicts poor prognosis in cancer patients [60-62]. Hypermethylation of the CpG island methylator phenotype (CIMP) genes is associated with methylation of the DNA mismatch repair gene MLH1 and predicts a favorable prognosis in colon cancers [63]. Although DNA methylation of CpG islands is an important part of cancer epigenetics, this perspective has been recently changed after the discovery of the CpG island shores and asymmetric nucleosome modifications during DNA replication [64]. In addition, studies of whole genome DNA methylation analysis revealed abnormal DNA methylation pattern in previously unexpected genomic regions such as gene body, around transcription start site and the far upstream promoters. Although the functional relevance of these regions is unknown methylation of non-CG sequence is enriched in the body of transcriptionally active genes [5,65]. DNA methylation is not a stable modification as previously thought because they are subjected to active demethylation mediated by TDG and passive demethylation by DNA hydroxylases. The mammalian DNA hydroxylases TET1 is a translocation partner of MLL-translocated in a subset of AML patients [66]. In addition, several reports indicated recurrent inactivating mutations in TET2 in hematological malignancies and these mutations are associated with poor prognosis of these patients [67-69]. Although mutations in DNA methyl transferases are associated with developmental abnormalities, somatic mutations of DNMT3A have been recently detected in human malignancies, and these mutations are associated with poor prognosis of AML patients [70-73].

### Histone acetyltransferases in cancer

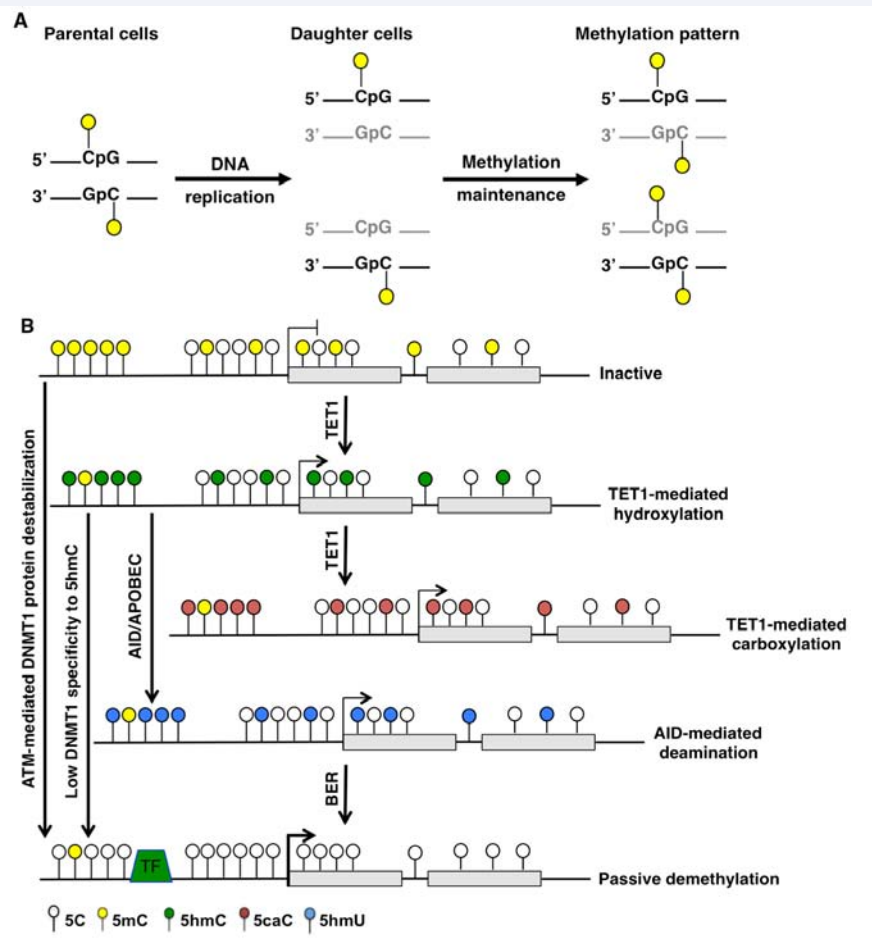
Frequent inactivating mutations in histone acetyltransferases (HATs) have been reported in various types of human cancer [74-76]. In addition, recurrent chromosomal translocation or coding mutations in various KATs were detected in solid and hematological cancers [2]. Although HDACs somatic mutations are not prevalent in cancers, altered expression level of various HDACs is detected in many types of cancer [2,75]. There are currently two HDAC inhibitors specifically approved by the Food and Drug Administration (FDA) for the treatment of hematological malignancies. Vorinostat was approved for the treatment of cutaneous T cell lymphoma (CTCL) patients in 2006, followed by Romidepsin in 2009 and several HDAC inhibitors are currently in the phase of clinical trial for treatment of several types of human cancers.

### Lysine methyltransferases in cancer

Recent data from human cancer genome mutational analysis identified driver mutations in several epigenetic regulators. For example, 89% of follicular lymphomas and 32% of diffuse large B-cell lymphomas have recurrent mutations in KMT2B (MLL2) [2,74]. Another study identified inactivating somatic mutations in KDM6A (*UTX*) in various types of human cancers, and introduction of *KDM6A* mutant resulted in low proliferation and marked transcriptional changes [2,75,77,78]. In addition, recurrent coding mutations have been identified in *KDM5A*, and *KDM5C* [2,75]. Although initial studies suggested that EZH2 (catalytic component of the PRC2 complex) functions as an oncogene, next generation sequencing (NGS) and targeted resequencing of cancer genome have recently identified coding mutations in EZH2 in various lymphoid and myeloid neoplasms.



**Figure 1** DNA methylation patterns in development and cancer. Inactivation of the RB-ATM pathway induces epigenetic events that allow cells proliferation despite of unrepaired DNA damage resulting in genomic instability and malignant transformation.



**Figure 2** A schematic representation of the passive DNA demethylation associated with DNA damage. A, DNA replication is normally coupled with maintenance of the DNA methylation code. B, passive DNA demethylation mediated by methylated cytosine (5mC) lesions induced by enzymatic oxidation of 5mC into 5-hydroxymethyl cytosine (5hmC); and hydrolytic deamination of 5mC and 5hmC into 5-methyl uracil (5mU) and 5-hydroxymethyl uracil (5hmU) respectively, low DNMT1 specificity and binding affinity to 5hmC and the ATM-mediated DNMT destabilization in Rb-deficient cells.

### Methyl lysine readers in cancer

Mutations in the PHD domain of the inhibitor of growth 1 (ING1) tumor suppressor disrupt its ability to bind to H3K4me3, supporting a role for ING genes in epigenetic regulation of the transcription. Coding mutations in ING1 gene have been recently identified in melanoma, breast cancers, head and neck squamous carcinomas, and esophageal squamous cell carcinomas [79]. Mutations targeting the PHD domain and its ability to bind H3K4me3 can abolish leukemia induced by fusion of the nucleoporin 98(NUP98) and the PHD finger containing KDM5A or PHD finger 23 (PHF23). This is because chromatin binding of this fusion protein inhibits the H3K27me3 and activates critical hematopoietic oncogenes such as HoxA9, Meis1, and Pbx1 [80]. However, other PHD finger domains that can bind to H3K4me3 can functionally compensate for this mutation.

### Core histone genes mutation in cancer

Two recent studies identified recurrent mutations in *H3F3A*, which encodes histone H3.3 variant, in 30% of pediatric gliomas and 60% of diffuse intrinsic pontine gliomas [81,82]. These

mutations involve substitution of lysine 27 and glycine 34 on the histone tail suggesting that these mutations might alter the methylation or the acetylation pattern at K27 or the nearby K36 resulting in different transcriptional outcome. Another study showed that *H3F3A* mutations are mutually exclusive with IDH1 mutation and each mutation defines epigenetic subgroup of glioblastoma with distinct global methylation pattern and transcription signature that correlate with tumor location and patient survival [83].

### Layout of the genetic and epigenetic events in cancer

Genetic and epigenetic alterations have long been thought of as two separate mechanisms of carcinogenesis, and cancer has been considered as the disease of accumulating multiple genetic mutations. However, it is widely accepted now that all cancer cells, from the premalignant tissues to the late metastatic stage, harbor global epigenetic alterations that highly influence several malignant phenomenon including cancer stem cell reprogramming, clonal selection, tumor cell heterogeneity and tumor evolution. In addition, whole genome sequencing of thousands of human cancer identified several mutations in



the epigenetic regulatory genes such as *TET1*, *TET2*, *DNMT3A*, *H3F3A*, *IDH1* and *IDH2*, *KDM6A*, *KDM1*, *HDAC2*. Furthermore, loss of functions of tumor suppressor genes and DNA damage repair genes due to promoter methylation is a well-documented mechanism of carcinogenesis. For example *RB*, *BRACA1/2* and *PTEN* were reported to be hypermethylated, mutated or deleted in cancer [84]. Another group of genes known to be protective against cancer such as *O6-methylguanine-DNA* methyltransferase (*MGMT*), cyclin-dependent kinase inhibitor 2B (*CDKN2B*) and *RASSF1A* are inactivated in cancer predominantly by promoter hypermethylation [85]. The *MGMT* is involved in methylated DNA damage repair by removing carcinogen-induced *O6-methylguanine* adducts that result in G to A transition mutation. Inactivation of *MGMT* by epigenetic mechanism results in genetic mutations in critical genes such as *p53* and *KRAS* resulting in cancer [85]. These observations indicated that epigenetic events could influence the genetic output through epigenetic control of the transcriptional activity of certain genes or even by inducing mutations of critical cancer-driver genes. However, there is little information about how genetic aberrations induce specific epigenetic events that would be enough for tumor evolution it out the requirement of multiple genetic mutations. While lots of efforts have been made to study the epigenetic-genetic layout in cancer, it is of interest to investigate the genetic-epigenetic layout as a mechanism of cancer, and to determine how genetic aberrations impact certain epigenetic events indispensable for malignant transformation. This is important simply because clonal selection and tumor evolution is mainly driven by epigenetic events, and the majority of these epigenetic events are chemical modifications that could be easily targeted and reversed in vivo.

### The Rb epigenetic functions

The retinoblastoma (RB) tumor suppressor gene was the first tumor suppressor gene to be discovered more than three decades ago. Numerous studies have characterized the appreciated role of pRB-E2F interaction in transcription and cell cycle control. Later studies delineated the role of pRB interaction with tissue-specific transcription factors in regulation of terminal differentiation. We also reported novel functions of Rb in DDR and cellular senescence [86]. Recently, pRB has been shown to physically interact with several epigenetic modifiers including histone deacetylases (*HDAC1*, *HDAC2*), histone demethylases (*RBP2*), DNA methyl transferases (*DNMT1*), helicases (*Brg1*, *Brm*), histone methyl transferases (*Suv39h1*, *RIZ* and *Suv4-20h1/h2*) and histone binding proteins such as *HP1* [87]. These finding urged us to speculate that pRB functions as double-sided adhesive tape interacting with E2Fs transcription factors on one side and the epigenetic modifiers on the other side for dual transcriptional repression [88]. Recent reports suggested genome-wide functions of pRB in the regulation of heterochromatin domains including pericentric heterochromatin, telomeres and senescence-associated heterochromatic foci (SAHFs). There is also evidence that pRB interacts with *Suv4-20h1/h2* histone methyltransferases that regulate histone H4K20me3 at the pericentric heterochromatin [87]. Furthermore, genome-wide analysis demonstrated that primary human retinoblastomas harbor mutation only in the RB gene, and this mutation was associated with promoter localized epigenetic alterations rather

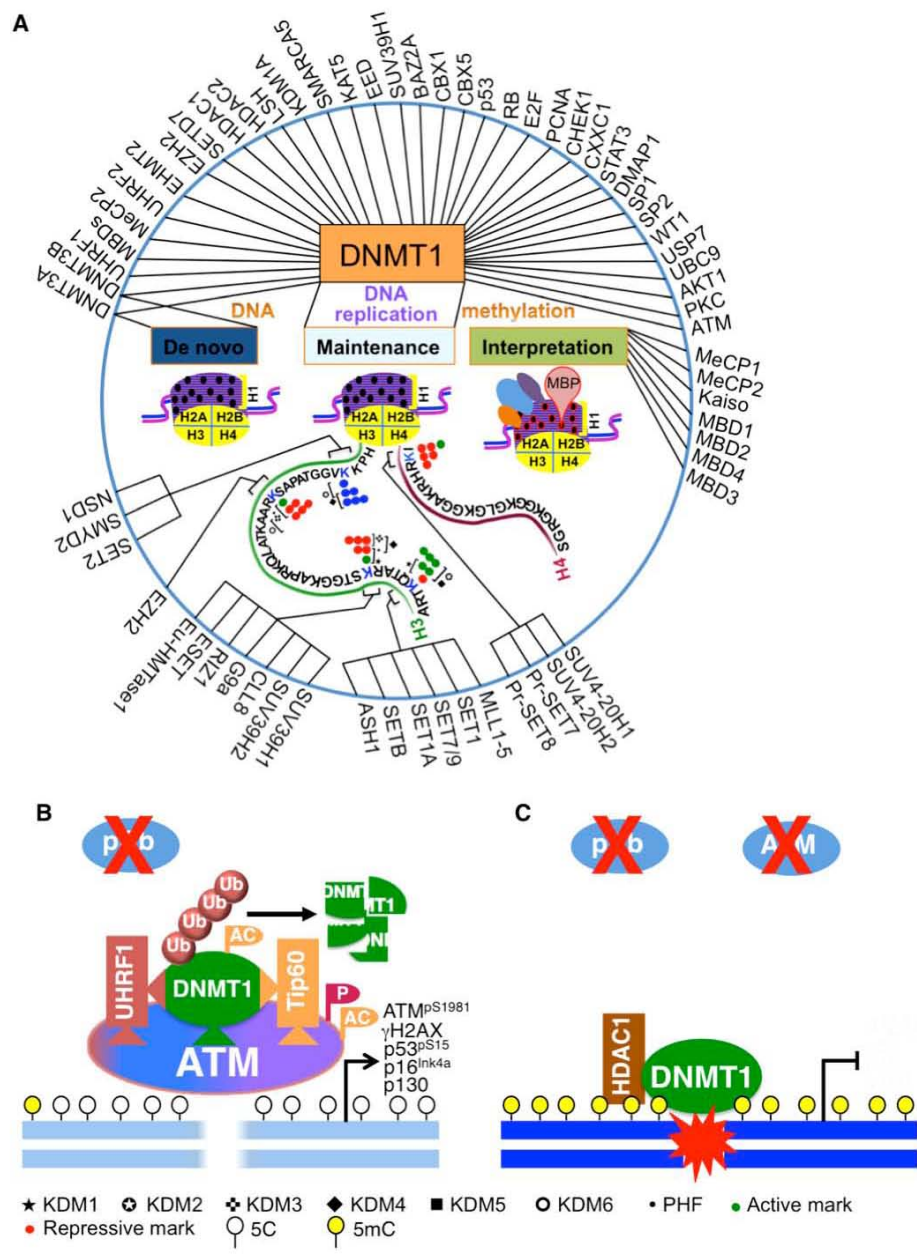
than chromosomal instability (CIN) as a mechanism underlies the malignant transformation of these tumors [89]. These findings indicated that pRB repress the transcription of genomic regions much broader than the E2F consensus sequence as we previously thought. Accordingly, we recently demonstrated that inactivation of Rb allows ATM to physically bind to *DNMT1* in a complex with Tip60 (acetyltransferase) and UHRF1(E3 ligase), and this confirmation results in ubiquitination-mediated degradation of *DNMT1* causing global DNA hypomethylation and induction of DDR and cellular senescence regulatory genes to protect the cells against malignant transformation. Whereas simultaneous inactivation of Rb and ATM stabilizes the *DNMT1* protein, which is recruited to the unrepaired DNA breaks leading to aberrant DNA hypermethylation pattern localized to promoter of genes that protect against cancer, and this allow the cells to continue growing despite of the unrepaired DNA damage resulting in genomic instability and cellular transformation [48]. These findings explain the pRb global epigenetic functions, and directly link genetic inactivation of the Rb-ATM pathway to DNA methylation through *DNMT1* protein stabilization (Figure 3).

### Epigenetic functions of ATM

ATM is a serine/threonine kinase, a member of the PI3K-like protein kinase (PIKK) family and is functionally implicated in DNA damage response and repair signaling. Matsuoka et al. previously identified wide range of ATM targets [90] however most of these targets are obviously unrelated to DNA repair suggesting that ATM exerts functions other than those related to the DNA damage and repair signaling. The first epigenetic signal in response to DNA damage is initiated by the ATM through induction of  $\gamma$ H2AX, a key epigenetic component of the DDR. Indeed, dephosphorylation of H2A<sup>pY142</sup> and the induction of  $\gamma$ H2AX constitute a cell fate switch mechanism between DDR and apoptosis following DNA damage [42]. The ATM protein has been also shown to regulate histone acetylation by negatively regulating the recruitment of *HDAC4* [92]. Therefore, the full 370 KD protein leads someone to think about the functions of regions other than the PI3K-like kinase domain functions, which is extensively investigated. This is because the PI3K-like kinase domain constitutes only 10% of the ATM amino acid sequence. One possible explanation of ATM functions in regulating large diversity of targets is that ATM might serve as adaptor protein to bring interacting proteins in close proximity of the designated protein-protein interactions. Our recent data assigned similar role of ATM in assembling the UHRF1-*DNMT1*-Tip60 proteins interaction in macromolecule complex that facilitates ubiquitination and subsequent degradation of the *DNMT1* protein resulting DNA hypomethylation and induction of cellular senescence genes to restrict malignant transformation of the Rb-deficient cells (Figure 3).

### The Rb-ATM pathway is a novel genetic-epigenetic layout in cancer

Several studies demonstrated various posttranslational modifications critical for the *DNMT1* protein stability [44-47]. However, linking genetic aberration of tumor suppressor genes to *DNMT1* stability in cancer was unknown. We recently demonstrated that the Rb-ATM genetic interaction is critical for



**Figure 3** A schematic representation of the interplay between the genetic and epigenetic systems in cancer. A, the epigenetic output influenced by protein-protein interaction and posttranslational modifications of the epigenetic regulators. B, the Rb-ATM-DNMT1 pathway is a novel mechanism of the genetic-epigenetic interplay in cancer; ATM functions as adaptor bringing DNMT1, Tip60 and UHRF1 into proximity for specific protein-protein interaction resulting in DNMT1 degradation, DNA hypomethylation, DDR and cellular senescence which antagonize transformation of the Rb-deficient cells. C, simultaneous inactivation of Rb and ATM stabilizes and recruits DNMT1 into the nucleosome resulting in DNA hypermethylation, which repress DDR and cellular senescence genes and allows the cells to escape the DDR and senescence barriers with unrepaired DNA damage leading to genomic instability and cancer.

DNMT1 protein stability and the malignant phenotype induced by aberrant DNA methylation pattern [48]. Importantly, blocking of this genetic-epigenetic axis through DNMT1 inhibition by specific shRNA or methyl transferase inhibitors markedly attenuated the signs of cellular transformation induced by genetic inactivation of the Rb-ATM pathway. These observations directly link somatic inactivation of the Rb gene by loss of heterozygosity (LOH) to the ATM-induced DNMT1 destabilization

and the aberrant DNA hypomethylation pattern that antagonize transformation of the Rb-deficient cells. These observations also indicated that ATM exerts critical tumor suppressor functions by mediating epigenetic modifications to protect against malignant transformation of the Rb-deficient cells. Taken together, these data indicated that the genetic and epigenetic alterations are intertwined during cellular transformation, and highlighted the genetic aberration of the Rb-ATM-DNMT1 pathway as a novel

mechanism of the genetic-epigenetic interplay in cancer. Further investigation of the Rb-ATM-DNMT1 pathway would enable us to identify epigenetic alterations indispensable for clonal selection and tumor evolution.

## CONCLUSIONS AND PERSPECTIVES

Since the discovery of altered DNA methylation in cancer gene promoters, epigenetic alterations were considered as a reflection of the genetic aberrations. In addition, cancer is widely recognized as disease resulting from accumulation of multiple mutations of critical genes. However, epigenetic mechanisms including aberrant DNA promoter methylation and several different histone modifications were linked to the development of cancer, and the literature indicates that the genetic and epigenetic events are obviously linked in cancer. However, there is little information about coordination of the interplay between the two systems. There are several reports about the epigenetic control of the transcriptional activity of cancer genes however; there is no information about whether these epigenetic events can drive cancer without gene mutations. Some human tumors such as retinoblastomas are driven by single genetic hit in the RB gene and the associated epigenetic modifications. Whether the epigenetic events associated with the RB gene mutation function as cancer drivers remains to be determined. Our recent studies demonstrated some of the Rb epigenetic functions in the malignant transformation mediated by aberrant DNA methylation in mice model. The tremendous progress in DNA sequencing brought by the next generation sequencing technology has made DNA methylation profiling at single base resolution along with comparative analyses of mRNA and several histone modifications at the whole genome scale possible. We believe that further analysis of the epigenetic functions of the Rb-ATM pathway at whole genome scale will enable us to determine unique epigenetic events indispensable for malignant transformation following activation of critical tumor suppressor genes. These efforts might endue us in the future with novel rationale of cancer therapy. Finally, although cancer will continue to evolve, our efforts to conquer the disease with wisdom and effective therapy will also continue to be fruitful.

## AUTHORS CONTRIBUTIONS

AS wrote the manuscript and created the figures. HM helped in editing the manuscript. The authors read and approved the manuscript.

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