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# Journal of Neurological Disorders & Stroke

#### **Research Article**

# Indicators of High Morbidity and Poor Functional Outcome in Acute Ischemic Stroke Patients with Concurrent COVID-19

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Submitted: 26 April 2022

Accepted: 31 May 2022

Published: 31 May 2022

ISSN: 2334-2307

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OPEN ACCESS

#### **Keywords**

 COVID-19, Cerebrovascular Accident, Acute Ischemic Stroke, Hypercoagulable State, Coagulation Markers, Inflammatory Markers

#### Abstract

**Objectives:** Evidence suggests an association of increased cerebrovascular accidents frequency in patients diagnosed with the novel coronavirus disease, COVID-19. Coagulopathy resulting from the 2019 novel coronavirus (SARS-CoV-2) infection is suspected. The current study aims at evaluating inflammatory and thrombotic markers in relation to stroke severity and functional outcomes in a patient cohort of acute ischemic stroke (AIS) with concurrent COVID-19.

Materials and Methods: We performed a retrospective observational cohort study of 28 patients who tested positive for SARS-CoV-2 via polymerase chain reaction and concomitant AIS confirmed by brain imaging. We collected and analyzed data regarding initial stroke presentation, markers of coagulopathy, morbidity, and 90-day functional outcomes.

**Results:** The patient cohort had median NIHSS of 16 at initial presentation and median stroke volume of 52 mL. Median 90-day mRS was 4. Highest fibrinogen level recorded showed a median of 759.54 mg/dL, D-dimer and lactate dehydrogenase (LDH) showed a median of 12,463 ng/mL and 442 ng/mL closest to stroke symptoms onset, respectively. LDH (p=0.0008), D-dimer (p=0.001), and maximum fibrinogen levels (p=0.049) near the time of stroke significantly predicted final NIHSS and functional outcome 90-days after discharge.

**Conclusions:** Adult patients with AIS and concurrent COVID-19 disease exhibited abnormally high markers of inflammation and coagulopathy, and LDH, D-Dimer, and fibrinogen levels were predictors of morbidity and neurological disability at 90-days in this patient population. Further research is necessary to establish a definitive pattern and assess the ability to use these markers as prognostic elements of morbidity and mortality.

# **INTRODUCTION**

The severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) was declared a global pandemic in March 2020 by the World Health Organization [1]. The associated disease, coronavirus disease 2019 (COVID-19), was initially appreciated for causing severe respiratory symptoms, followed by extrapulmonary multiorgan involvement, including the brain [2].

COVID-19 Neurological complications include encephalopathy, seizures, peripheral nervous system involvement, and thromboembolic disease of both ischemic and hemorrhagic etiologies [3,4]. Acute ischemic strokes (AIS) account for one of the most common and feared neurological manifestations of COVID-19, including in the young age groups [5-8]. Evidence suggests that SARS-CoV-2 predisposes to severe coagulopathy resulting in a pro- thromboembolic state [4,9-11], with concurrent abnormal elevations in coagulation and inflammatory markers [12,13]. We sought to retrospectively correlate markers of coagulopathy with neurological outcomes in a cohort of patients admitted to a tertiary care center with documented AIS in the setting of active COVID-19 disease.

# **MATERIALS AND METHODS**

# **Study population**

We performed a retrospective observational cohort study of adult patients presenting to Rutgers Robert Wood Johnson University Hospital (New Brunswick, NJ, USA), a tertiary care comprehensive stroke center. Patients admitted between March 1, 2020 through February 28, 2021 were enrolled. The study was reviewed and approved by the Institutional Review Board (IRB) at Rutgers University and patient information and data was handled in compliance with institutional IRB guidelines. Inclusion criteria were age greater than 18 years, imagingconfirmed AIS with non-contrast Computed Tomography (NCCT) and/or Diffusion Weighted Imaging (DWI) MRI where available, and concurrent COVID-19 diagnosis by the CDC 2019- Novel Coronavirus (2019-nCoV) Real-Time RT PCR Diagnostic Panel. Fifty-five patients presented with clinical manifestations of AIS and COVID-19 in the time frame of the study among which 28 patients met inclusion criteria.

Exclusion criteria were 2019-nCoV RT PCR negativity despite clinical diagnosis of COVID-19, presence of intracerebral

*Cite this article:* Iliceto A, Pisano TJ, Mehta DD, Rybinnik I (2022) Indicators of High Morbidity and Poor Functional Outcome in Acute Ischemic Stroke Patients with Concurrent COVID-19. J Neurol Disord Stroke 9(1): 1192.

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hemorrhage, absence of imaging-documented AIS, known coagulopathic disorder prior to the SARS-CoV-2 infection, and pregnancy.

## Study design

Markers of inflammation and coagulopathy were collected at the time of stroke onset following institutional protocols for all patients included in the study as indicated in Table 2. Fibrinogen was trended with serial collections separated on average by 12 hours over a period of 4 days (or until the time of expiration) from stroke symptom onset. Highest D-dimer and fibrinogen values during hospitalization were recorded. Clinical stroke severity was measured using the National Institutes of Health Stroke Scale (NIHSS) at initial presentation, 24 hours after the onset of stroke symptoms, and upon discharge [10,14]. Stroke volume was measured radiographically by a board certified stroke neurologist using ABC/2 method applied to the diffusion weighted imaging (DWI) MRI or NCCT [15-17]. Functional outcome at 90 days after discharge was documented for each patient using the modified Rankin Scale (mRS) [18,19].

#### **Statistical analysis**

Data analysis was performed in Python 3+ using Numpy [20] 1.18.1, SciPy [21], and Pandas [22] 1.0.1. Plotting was done with Matplotlib [23] 3.1.3 and Seaborn [24] 0.10.0. Receiver operating curve generation used Scikit-Learn [25] 0.22.1.

Coagulation markers collection were plotted against NIHSS, stroke volume, and 90-day mRS. Functional outcome classification performance, to independently predict good functional outcome (GFO), defined as mRS 0-2, was visualized using receiver operating characteristics (ROC). Confusion matrices with varying binary classification thresholds for each NIHSS predictor were used to generate ROCs [26]. Variables tested using the ROCs were analysis of initial NIHSS, LDH and D-dimer closest to stroke symptoms onset, and maximal fibrinogen value in relation to 90-day mRS.

# **RESULTS**

# **Clinical Characteristics**

The study population comprised of 18 (64%) males and 10 females (36%) with a median age of 66 years (IQR 60-71.25 years). The ethnic background was heterogeneous with self-reported demographics shown in Figure 1a. 22 patients (79%) had at least 1 vascular risk factor with the most common being hypertension (HTN), diabetes (DM), hyperlipidemia (HLD), and atrial fibrillation (Afib; Table 1). 18 patients (64.3%) had two or more vascular risk factors. 18 patients (64%) presented with symptoms of AIS on arrival to the hospital, while 10 patients (36%) presented with symptoms related to COVID-19 disease and subsequently developed stroke symptoms during the hospital admission (Table 1).

#### **Cerebrovascular Evaluation**

Strokes were severe with median NIHSS score of 16 and interquartile range (IQR) of 17.5 at stroke symptom discovery (Table 2). 10 patients (35.7%) qualified for acute reperfusion therapies with 2 patients (7.1%) receiving only tissue

**Table 1:** Patient demographic, symptoms at presentation, AIS features, and interventions.

Variable	Number of patients (%) n = 28	
Gender Female	10 (35.7)	
Atrial Fibrillation	3 (10.7)	
DM	11 (39.3)	
HLD	9 (32.1)	
HTN	21 (75.0)	
Initial presentation COVID-19 symptoms	11 (39.3)	
Initial presentation stroke symptoms	18 (64.3)	
Cough	8 (28.6)	
Diarrhea	1 (3.6)	
Dyspnea	14 (50.0)	
Fatigue	1 (3.6)	
Fever	7 (25.0)	
Generalized Weakness	5 (17.9)	
Intervention IV tPA alone	2 (7.1)	
Intervention Mechanical Thrombectomy alone	3 (10.7)	
Intervention IV tPA + mechanical thrombectomy	5 (17.9)	

**Table 2:** Median and Interquartile Range (IQR) of stroke indicators, and biomarkers of inflammation and coagulopathy.

Variable	Median (IQR)	
Age	66.50 (60-71.25)	
Stroke Volume (mL)	52.24 (5.84-132.79)	
Initial NIHSS	16.00 (6.75-24.50)	
NIHSS at 24 hours	16.50 (6.75-22.25)	
Final NIHSS at discharge or death	18.50 (3.75-28.00)	
90-days mRS	4.00 (3.00-5.25)	
INR closest to stroke	1.21 (1.11-1.32)	
PT closest to stroke (seconds)	13.95 (12.68-15.50)	
PTT closest to stroke (seconds)	28.00 (26.00-30.00)	
Fibrinogen closest to stroke (mg/dL)	498.00 (351.00-364.00)	
Fibrinogen highest (mg/dL)	759.54 (653.75-940.75)	
CRP closest to stroke (mg/dL)	8.21 (5.43-17.73)	
LDH closest to stroke (IU/L)	442.00 (277.00-545.50)	
D-dimer closest to stroke (ng/mL)	12463.00 (3272.50-32752.00)	
D-dimer highest (ng/mL)	24106.00 (6105.00-80165.00)	
ESR closest to stroke (mg/dL)	113.50 (60.75-273.50)	
CPK closest to stroke (U/L)	123.00 (98.00-326.00)	
Ferritin closest to stroke (ng/mL)	792.00 (368.00-1196.00)	
Ferritin highest (ng/mL)	1001.00 (599.00-1750.50)	

plasminogen activator (tPA), 3 patients (10.7%) only clot retrieval via mechanical thrombectomy (MT), and 5 patients (17.9%) treated with both tPA and MT. 14 patients (50%) presented with an emergent large vessel occlusion (ELVO).

18 patients (64.3%) did not receive any reperfusion therapy. Major reasons for ineligibility were presentation outside of therapeutic window (15 patients) [26], large completed ischemic lesion on initial imaging defined as Alberta Stroke Program

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**Figure 1** (a) Distribution of patients self or family-reported race upon admission. (b) Initial NIHSS by severity. (c) Distribution of stroke locations. (d) Fibrinogen collections over time with serial collection every 4 hours from stroke symptom onset. (e) Linear regression of maximum fibrinogen level in relation to 90-day mRS. (f) Linear regression of LDH level closest to stroke in relation to 90-day mRS. (g) Linear regression of D-dimer level closest to stroke in relation to 90-day mRS.

Early CT (ASPECT) [27] score below 6 (10 patients), absence of emergent large vessel occlusion (6 patients), and anticoagulation therapy and/or bleeding diathesis (6 patients). Median final ischemic core volume was 52.24 mL and IQR 126.94 mL. Middle cerebral artery (MCA) territory accounted for 75% of the stroke locations, while 7.1% of patients had strokes in multiple vascular territories (Figure 1c). Other vascular distributions involved included subcortical (11%), anterior cerebral artery (ACA) (3.6%), and cerebellum (3.6%) (Figure 1c). With respect to outcomes, only 5 patients (18%) achieved functional independence at 90 days defined as mRS score 0-2, and median mRS score was 4.90-day mortality in this cohort was 25%.

## Markers of coagulopathy

26 patients (93%) had fibrinogen collections above the normal range (reference range 200-400 mg/dL). Elevations in other markers were notable for 24 patients (86%) with an elevated LDH (reference range 125-220 International Units/

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Litre; IU/L) and 28 patients (100%) with an elevated D-dimer (reference range 0-500 ng/mL; Table 2).

71% of patients reached fibrinogen levels greater than 700 mg/dL, 5 of whom surpassed the upper limit of the quantification assay for fibrinogen with values greater than 1000 mg/dL. Trends of fibrinogen collection over time showed a gradual and significant increase in overall fibrinogen levels from stroke symptoms onset (Figure 1d).

A similar trend was observed for D-dimer, which showed a median value of 12,463 ng/mL at the time of stroke symptom discovery and a median value of 24,106 mg/mL representing the highest recorded value during the hospitalization (Table 2). The aggregate net elevation in D-dimer level of 14,765 ng/mL between the initial and peak D-dimer collections corresponded to an increase in serum level by 65.4% across the two time points.

Independent linear regressions of coagulation markers with 90-day mRS showed a significant correlation of maximum LDH (p=0.0008), D-dimer (p=0.001) collected after stroke symptom discovery and highest recorded value, and maximum fibrinogen levels (p=0.049) during the hospitalization (Table 3). Of the aforementioned biomarkers, ROC analysis of LDH resulted be the highest predictor of GFO with area under the curve (AUC) of 0.776.

Among serial fibrinogen collections, highest fibrinogen level showed to be the most predictive of poor outcome both discharge and at 90 days with area under the curve (AUC) of 0.748 (Figure 2). Initial NIHSS, which is a well validated predictor of GFO, was used as a quality control measure to ensure data reliability for the patient cohort with an AUC of 0.983. No significant correlation was observed between functional outcome and C-reactive protein (CRP), erythrocyte sedimentation rate (ESR), creatinine, creatine phosphokinase (CPK), international normalized ratio (INR), prothrombin time (PT), or partial thromboplastin time (PTT) (Table 3).

# DISCUSSION

Patients with AIS and COVID-19 have high stroke severity both by clinical markers (NIHSS and mRS) and radiographic markers (median stroke volume), which is consistent with multinational cohorts and United States-based mortality database reviews [28,29]. The reasons for abnormally high morbidity and mortality in patients with AIS and COVID-19 are likely multifactorial. While atypical symptomatology, delayed presentation, and underlying vascular comorbidities are well- recognized poor prognostic markers, SARS-CoV-2 induced coagulopathy is also a concern.

An association between COVID-19, increased risk of hypercoagulability, and a high incidence of severe AIS has been reported [30,31]. Emerging literature suggests elevations in coagulation and inflammatory markers that are seen in patients with COVID-19 may trigger a coagulopathic cascade promoting clot formation and disease burden [32]. In our study, there is a clear early upward trend in fibrinogen on multiple serial collections over time as well as LDH and D-dimer after stroke symptom discovery. All patients had elevated D-dimer levels during their hospitalization. Additionally, elevated fibrinogen levels were recorded in 92.9% of our patients, and levels exceeding 700 mg/dL correlated with poor outcome. A predictive relationship was not observed between functional outcome and CRP, ESR, creatinine, CPK, INR, PT, or PTT levels. However, similar to other studies [32,33], elevated levels of ESR, CRP, CPK and ferritin were noted, suggestive of a pro-inflammatory state. Our findings correlate well with recently described cohorts by McAlpine et al. [33], and Goyal et al. [34].

This study has several limitations. Given retrospective observational methodology, there may be a significant selection

Table 3: Linear regression of clinical and laboratory indicators with respect to 90-day mRS. Statistically significant values (p<0.05) are highlighted.				
Variable	r value	p val	R slope	
Age	0.1679	0.393065	0.0221	
Stroke Volume (mL)	0.5589	0.001991	0.0057	
NIHSS initial	0.6943	4.16E-05	0.1027	
NIHSS 24 hours	0.7412	6.40E-06	0.1053	
NIHSS final	0.8983	8.82E-11	0.0891	
INR closest to stroke	0.3	0.120851	1.4083	
PT closest to stroke (seconds)	0.3105	0.107771	0.1265	
PTT closest to stroke (seconds)	-0.1625	0.427821	-0.0674	
Fibrinogen closest to stroke (mg/dL)	-0.1473	0.463589	-0.0009	
Fibrinogen highest (mg/dL)	0.3756	0.048859	0.0027	
CRP closest to stroke (mg/dL)	0.12	0.559385	0.0019	
LDH closest to stroke (ng/mL)	0.618	0.000767	0.0033	
D-dimer closest to stroke (ng/mL)	0.4941	0.008807	0	
Creatinine level closest to stroke mg/dL)	0.2664	0.198063	0.4283	
ESR closest to stroke (mg/dL)	0.0733	0.803392	0.0003	
CPK closest to stroke (U/L)	0.117	0.654603	0.001	
Ferritin closest to stroke (ng/mL)	0.3166	0.141014	0.0003	

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**Figure 2** Test performance for predicting good functional outcomes (GFO). Receiver operator curves (ROC) to predict GFO (defined as mRS 0-2) in respect to LDH closest to stroke, D-dimer closest to stroke, and maximum fibrinogen values. Initial NIHSS as predictor of GFO used as control measure. Area under the curve calculations (AUCs) are included.

bias, although outcomes noted in our study parallel those of larger multinational cohorts and mortality databases, suggesting that our patient population was reasonably representative<sup>28,29</sup>. Small sample size, lack of a control group and challenges in recognizing stroke onset and deriving the exact timing of biomarker values limits the strength of our correlations.

Our study calls attention to the possibility that inflammatory and coagulation markers may be independent predictors of outcome in patients with AIS and concurrent COVID-19. LDH and D- dimer levels were shown to be reliable predictor of poor outcomes in patients with intracerebral hemorrhage and acute ischemic stroke in the literature [35,36]. In our cohort, fibrinogen emerged as another possible biomarker relevant to prognosis in this patient population.

In our study, adult patients with AIS and concurrent COVID-19 disease exhibited abnormally high markers of coagulopathy, and LDH, D-Dimer, and fibrinogen levels were predictors of morbidity and neurological disability at 90-days in this patient population. This finding should be validated in future studies comparing COVID-19 patients with AIS to those with non-stroke complications. Also, more research is needed to define exact biomarker thresholds predictive of outcomes in patients with AIS and concurrent COVID-19 disease.

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