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**Research Article** 

# A Novel of Solution-Processed High-Efficiency ETL SnO<sub>2</sub>/PEI for enhanced Inverted OLED Performance

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### **Keywords**

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#### Abstract

Tin oxide  $(SnO_2)$  has been extensive researched as an electron-transport layer (ETL) in inverted organic light emitting devices (OLEDs) because of its outstanding advantages in many aspects. However, there are defect sites for nonradiative recombination, which have limited its widespread application as ETLs in the  $SnO_2$ -based OLEDs. Herein, a novel approach utilizing Polyethyleneimine (PEI) for modifying  $SnO_2$  is introduced. OLEDs were fabricated with the structure of  $ITO/SnO_2/PEI/PVK$ :  $Ala_3/NPB/MoO_3/AI$ . The atomic force microscopy (AFM), photoluminescence (PL), Time-Resolved Fluorescence Transient Lifetime (TRPL) and current density-voltage-luminescence of the OLEDs were characterized, then the dependence of  $SnO_2$  and PEI thickness on device characteristics was investigated. It revealed that this modification serves dual purposes of roughness reduction and surface-defect passivation, can inhibit the leakage current of OLEDs and avoid the quenching of excitons. OLEDs with PEI-modified  $SnO_2/PEI$  ETL exhibit a remarkable current efficiency (CE) of 3.15 cd/A, which significantly exceeds that (0.85 cd/A) achieved with bare  $SnO_2$ -based OLEDs, along with effectually reducing the turn-on voltage from 4.5 to 3.5 V. This study confirms the efficacy of PEI for efficient OLEDs, paving the way for  $SnO_2$  applications.

# **INTRODUCTION**

SnO<sub>2</sub> can be utilized in a large number of applications and been reviewed by several researchers due to its high transparency, low cost, low toxicity, widespread availability high stability and great flexibility in structure [1-4]. In particular, SnO<sub>2</sub> as an n-type oxide has excellent electron mobility [5]. Significant advantages of the SnO<sub>2</sub> as mentioned above make SnO<sub>2</sub> an efficient and well-explored electron transport material in photoelectronic device. As a matter of fact, it has been widely utilized as an ETL material for various solar cells with remarkable results [6-8]. In the same way, transparent materials are more favourable for OLED devices, in particular,  $\mathrm{SnO}_{2}$ , as electron transporting material, is a good choice of material for ETL in bottomemission inverted OLEDs. ZnO, which is similar to SnO<sub>2</sub>, has also been widely studied as an electron transport layer [9,10], but SnO<sub>2</sub> has been confirmed to have more advantages in bottom-emission inverted OLEDs. Park et al. compared the properties of SnO<sub>2</sub> as the ETM with those of ZnO by transmission electron microscopy (TEM) and atomic force microscopy (AFM) images, indicating that SnO<sub>2</sub> NPs have a smoother surface, which allows better layer-by-layer connection [11]. In addition, the carrier concentration of ZnO is more than two times higher than that of SnO<sub>2</sub>, which will cause excessive electron injection, leading to imbalance with holes. SnO2 NPs exhibit lower carrier concentration but the same electron mobility, indicating more suitable to result in improved OLED device performance [6]. Lee et al. applied SnO2 NPs as an interface layer between the ITO cathode and the ETL in an inverted bottom-emission OLED device. It was helpful to form a ladder-like energy alignment from ITO to the emitter to facilitate the electron injection [12]. Therefore, we introduce SnO<sub>2</sub> as an ETL for research. However, Trost S and Abel B et al., reported that abundance of oxygen vacancies originating from the nanoparticle structure of SnO<sub>2</sub> and numerous exposed hydroxyl groups on the surface, which are ormed by adsorbed oxygen from the air, act as defect sites for nonradiative recombination. This leads to reduced conductivity of SnO<sub>2</sub> films and adversely affects the performance of  $SnO_2$  -based devices [13,14]. In this regard, Kim D et al., incorporated synthesized u-PEIs into the SnO<sub>2</sub> layer of OSC devices to effectively passivate the surface defects on SnO<sub>2</sub> [7]. Shen P et al., study the SnO<sub>2</sub> /PFN bilayer structure of inverted PSCs and the corresponding PCE is dramatically improved compared to the device with  $\mathrm{SnO}_2$ -only [15]. In this work, we reported PEI-modified  $\mathrm{SnO}_2(\mathrm{SnO}_2/\mathrm{PEI})$  ETL and their application in bottom inverted OLED based on PVK:  $\mathrm{Alq}_3$  EML by solution-processed. The PEI modification can significantly improve the device CE from 0.85 to 3.09 cd /A. The main effect of PEI modification is associated with surface roughness and defect passivation of  $\mathrm{SnO}_2$ , which has been proven by atomic force microscopy (AFM) and time-resolved fluorescence transient lifetime (TRPL). The modification reduces the leakage current and exciton quenching, improving the exciton utilization. The EL performance of the devices with  $\mathrm{SnO}_2$  /PEI is better than that of the  $\mathrm{SnO}_2$  reference device.

## **EXPERIMENTAL**

The OLEDs with the structure of ITO/SnO<sub>2</sub> /PEI/EML/ NPB/MoO<sub>3</sub>/Al were fabricated, and the schematic diagram of the structure is shown in the illustration in Figure 1, in which SnO2 colloidal solution mixed with ammonia was spin-coated at 4000 rpm for 30 s and annealed at 170°C for 30 min in the surrounding environment to obtain the ETL. and then transfer the substrate into the argon atmosphere glove box and spin-coated with PEI and EML. PEI dissolve in alcohol were spin-coated on ETL at 3000 rpm for 40 s and annealed at 130 °C for 20 min. The molecular structure of PEI is shown in Figure 1. The polymer PVK doped with Alq, was used as the emitting layer (EML), which the weight ratio of [6:4 mg/mg] in 1 mL DMF (N, N- dimethylformamide) solution were spincoated on PEI at 2000 rpm for 30 s and annealed at 110°C for 15 min. NPB and MoO<sub>3</sub>/Al were used as the hole transport layer (HTL) and modified anode, respectively. NPB, MoO<sub>3</sub> and Al were sequentially deposited onto the above substrate. The thermal evaporation process was carried out in an Edwards Auto-500 thermal evaporation system at a pressure of 1×10<sup>-5</sup> Pa, and an in-situ quartz crystal was used to monitor the thickness of the vacuum

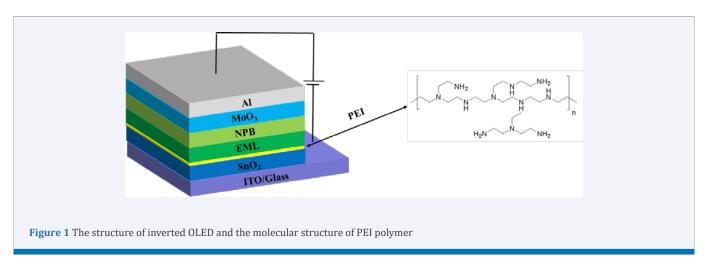
depositions. The evaporation rates were 0.2 nm/s, 0.07 nm/s and 1.0 nm/s for the NPB, MoO $_3$  and Al, respectively. All devices were prepared on pre-patterned indium tin oxide (ITO) coated glass substrate with a sheet resistance of 15  $\Omega$ /sq. The substrates were treated in an ultravioletozone chamber for 20 min after the following cleaning procedure: ultrasonically cleaned with detergent, acetone, ethanol and deionized water.

### **RESULTS AND DISCUSSION**

The electron transport material  $\mathrm{SnO}_2$  has been demonstrated to be highly efficient, cross-linkable ETL in inverted OLEDs and organic solar cells [16-18]. We first investigate the current density (J)-bias (V)-luminance (L) characteristics of OLED devices with an inverted structure as follows.

Device A:  $ITO/SnO_2$  (8 wt.%)/EML/NPB (30 nm)/ $MoO_3$ (5 nm)/Al (120 nm)

Device B: ITO/SnO<sub>2</sub> (8 wt.%)/PEI (0.15 wt.%)/EML/ NPB  $(30 \text{ nm})/\text{MoO}_3(5 \text{ nm})/\text{Al}$  (120 nm), As can be seen from Figure 2, compared with Device A, Device B reduces the current density obviously, and the turn-on voltage changed negligibly. It is believed that the PEI layer are responsible for device performance enhancement. In Figure 2 (c) and (d), with single SnO<sub>2</sub> ETL, Device A shows poor device efficiency, the maximum CE is only 0.85 cd/A, and the maximum power efficiency (PE) is 0.45 lm/w. With PEI modified ETL, Device B improves the CE and PE to 1.47 cd/A and 0.66 lm/w. To understand why the PEI modification improves the performance of Device B, we first investigated the morphology of SnO<sub>2</sub> and SnO<sub>2</sub>/ PEI thin films. The AFM images are shown in Figure 3. The root mean square (Rq) values of the roughness for the ITO/SnO<sub>2</sub> (8 wt.%) and ITO/SnO<sub>2</sub> (8 wt.%)/PEI (0.15 wt.%) samples are 1.31 nm and 0.34 nm, respectively. Thus, PEI covered and reduced the surface roughness of



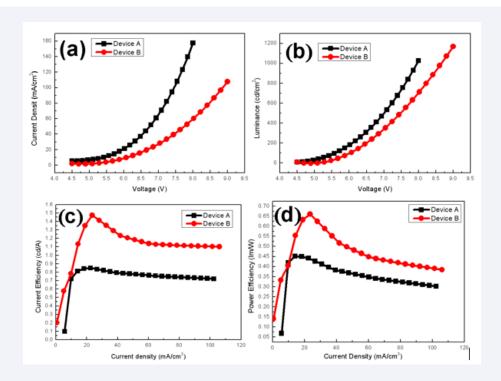


Figure 2 The EL performances of Device A and B

(a) The current density-voltage curves; (b) The luminance-voltage curves; (c) The current efficiency-current density characteristics; (d) The power efficiency-current density characters.

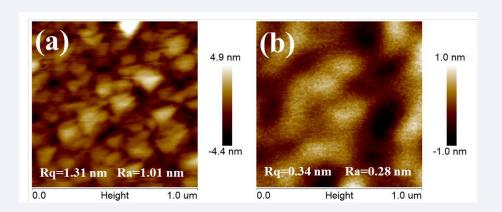


Figure 3 (a) The AFM image of thin films spin coated by 8 wt.%  $SnO_2$  solution; (b) The AFM image of spin-coated with 0.15 wt.% PEI thin film on  $SnO_2$  layer.

the  $\mathrm{SnO}_2$  layer. Therefore, it should be due to PEI layer modified the surface roughness of  $\mathrm{SnO}_2$  layer, effectively inhibiting the generation of leakage current and improving the luminescence performance of the device [19]. In order to further reveal the reasons of PEI layer improves the luminescence performance of Device B, the photoluminescence (PL) spectrum and time-resolved fluorescence transient lifetime (TRPL) measurement were

carried out as a tool to elucidate the exciton lifetime at the interface of ETL/EML based on the  $\rm ITO/SnO_2$  /EML and  $\rm ITO/SnO_2$  /PEI/EML structures. PL spectrum of the two samples with excitation wavelengths of 410 nm are shown in Figure 4(a). It is observed that the emission spectrum of their peaks are approximately identical. It suggests that the addition of PEI layer does not affect the transfer state of excitons in the EML [20]. Figure 4(b) shows the

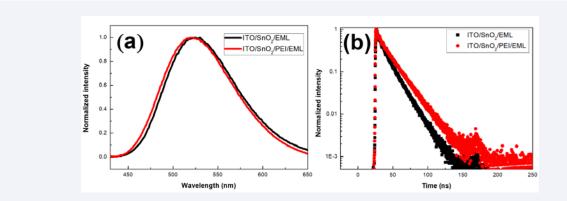
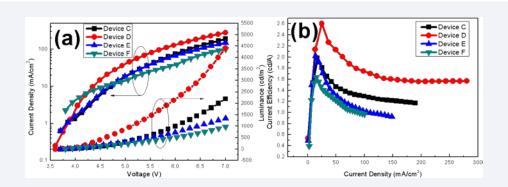


Figure 4 (a) PL spectrum and (b) TRPL decay curves measured for SnO<sub>2</sub> /EML and SnO<sub>2</sub> /PEI/EML

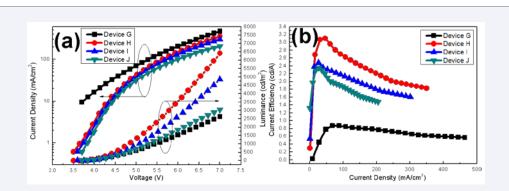
room temperature PL decay curves of the studied samples measured at the PL peak emission energy, and each decay curve can be well fitted by a double exponential function expressed as:

$$Y = A_1 \exp(-t/\tau_1) + A_2 \exp(-t/\tau_2) + y_0$$
 [1]

Where  $A_1(A_2)$  represents the amplitude of the fast decay (slow decay) component at t = 0.  $\boldsymbol{\tau}_{_{\! 1}}$  and  $\boldsymbol{\tau}_{_{\! 2}}$  represent the decay time of slow and fast components, which correspond to the radiative and non-radiative recombination processes of excitons respectively [21,22]. It is well known that the quantum yield of the film is in direct proportion to the average fluorescence lifetime [23]. We can get the result that the excitons average lifetime of the ITO/SnO<sub>2</sub> / PEI/EML (13.6 ns) is better than the ITO/SnO<sub>2</sub> /EML (10.7 ns) case, indicating that the device with SnO<sub>2</sub> /PEI as ETL has the potential to produce a high EL performance [24], which may be due to the isolation and passivation of PEI and avoiding the exciton quenching by SnO<sub>2</sub> surface defects [13,14]. Analyses above proved that SnO<sub>2</sub> /PEI interface modification is an effective way to improve the device performance. In this way, the utilization rate of excitons in light-emitting devices can be improved, thus improving the performance of light-emitting devices. It's known from above characteristics that SnO<sub>2</sub>/PEI can effectively improve the luminescence performance of devices. Next, the dependence of SnO2 and PEI thickness on device characteristics was then investigated. The light emitting device structure used in this experiment is ITO/SnO<sub>2</sub>/PEI/ EML/NPB (30 nm)/MoO<sub>3</sub> (5 nm)/Al (120 nm). Firstly, the thickness of SnO<sub>2</sub> layer is optimized. In this experiment, the solution concentration used for spin coating PEI layer is 0.15 wt.%, and the solution concentration of SnO<sub>2</sub> is set to 2, 3, 4, and 5 wt.%, respectively. The corresponding devices are named Device C-F in turn. Figure 5 shows the EL performance of device C-F. It can be seen that the current density, luminance and current efficiency of these devices have the same trend, which first increase and then decrease with the increase of SnO<sub>2</sub> solution concentration. The turn-on voltage (at 1cd/m<sup>2</sup>) of these devices were 3.7, 3.6, 3.7 and 3.8 V, respectively. It was obvious that the Device D presented a maximum luminance of 4401 cd/m<sup>2</sup> at 7 V, a maximum CE of 2.60 cd/A at 15.7 mA/cm<sup>2</sup>. That is to say, the optimal structure of the device was achieved when the concentration of SnO<sub>2</sub> solution was 3 wt.%, which was consistent with the statements in relevant literatures [12]. It may be attributed to the fact that the thickness of SnO<sub>2</sub> film continues to increase as the increase of the SnO<sub>2</sub> solution concentration, which enhances the filmforming property and helpful to form a ladder-like energy alignment from ITO to the EML [12], effectively reduces the occurrence of leakage current and facilitate the electron injection. However, when the thickness of SnO<sub>2</sub> film is too large, the transmission resistance increases, causing the device performance deteriorates. The dependence of device characteristics on PEI concentration was then investigated as the structure of ITO/SnO<sub>2</sub> /PEI/EML/NPB (30 nm)/MoO<sub>3</sub>(5 nm)/Al (120 nm). The concentration of PEI is varied to 0.05, 0.10, 0.15 or 0.20 wt.%, and the SnO<sub>2</sub> solution concentration was fixed at 3 wt.%. The devices corresponding to different PEI concentrations are named as Device G-J in turn, in which the structure of Device I is exactly the same as that of Device D in the previous group experiments, and the EL characteristics curves of Device G-J are shown in Figure 6. It can be clearly seen from Figure 6 that the current density of these OLEDs gradually decrease with the increase of PEI concentration. The luminance and current efficiency of these devices have the same trend, which first increase and then decrease with the increase of PEI solution concentration.. It is considered that the PEI layer are too thin to completely cover the surface roughness at the solution concentration of 0.05 wt.% [19]. The result is that device H exhibited the lowest



**Figure 5** The EL performances of Device C-F (a) The current density-voltage-luminance curves; (b) The current efficiency-current density characteristics



**Figure 6** The EL performances of Device G-J
(a) The current density-voltage-luminance curves; (b) The current efficiency-current density characteristics

Table 1: Summary of EL performances of devices in this work

Device	ETL: ITO/SnO <sub>2</sub> /PEI/		Turn-on	Max. L	Max. CE
	SnO <sub>2</sub> (wt.%)	PEI (wt.%)	voltage (V)	(cd/m <sup>2</sup> )	(cd/A)
Α	8		4.5	1023	0.85
В	8	0.15	4.5	1168	1.47
С	2	0.15	3.7	2197	1.99
D	3	0.15	3.6	4401	2.60
E	4	0.15	3.7	1347	2.07
F	5	0.15	3.8	969	1.66
G	3	0.05	3.7	2631	0.89
Н	3	0.10	3.5	6395	3.15
I	3	0.15	3.6	4846	2.61
G	3	0.20	3.7	3043	2.37

turn-on voltage (at 1 cd/m²) of 3.5 V, maximum luminance of 6395 cd/m² at 7V and maximum current efficiency of 3.15 cd/A at 42.0 mA/cm². It is considered that the PEI can modify and passivate  $\rm SnO_2$  surface defect to improve the performance of OLED devices [15]. However, when the PEI solution concentration exceeds 0.1 wt.%, the device performance gradually decreases, because PEI is an insulator, and the conductivity is poor [25].

### **CONCLUSION**

In summary, a novel solution-prossed SnO<sub>2</sub> /PEI modified ETL has been developed for a inverted OLED. We found that the composite ETL in inverted OLED provided two main advantages: 1) reducing the surface roughness of SnO<sub>2</sub> to inhibit the leakage current and 2) passivating the surface defects on SnO<sub>2</sub> to avoid the quenching of excitons to improve the EL performance of the device. Compared to SnO<sub>2</sub> single ETL device (Device A), the optimized SnO<sub>2</sub> / PEI modified ETL device (Device H) reaches the maximum luminance 6395 cd/m<sup>2</sup>, increased by 5.3 time, enhances the CE from 0.85 to 3.15 cd /A, increased by 2.7 time, and effectually reduces the turn-on voltage from 4.5 to 3.5 V, demonstrating better electron transport and injection. Therefore, finding functional material with the ability to modified the SnO<sub>2</sub> surface is crucial for the development of efficient SnO<sub>2</sub> -based devices.

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